32692 ECustomer Number Patent Case No.: 58938US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSITST Named Inventor:

EPSTEIN, KENNETH A.

Application No.:

10/738441

Group Art Unit:

2875

Filed:

December 17, 2003

Examiner:

Unknown

Title:

ILLUMINATION DEVICE

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

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april 11, 2005

Signed by: Heather M. Bundy

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

Under 37 CFR § 1.704(d), I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

08- Apr:1-2005

Date

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Office of Intellectual Property Counsel
3M Innovative Properties Company

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Substitute for form 1449A/PTO (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(se as many sheets as necessary)

Page 1 of 1

Application Number	10/738441 December 17, 2003	
Filing Date		
First Named Inventor	Epstein, Kenneth A.	
Art Unit	2875	
Examiner Name	Unknown	
Attorney Case Number	58938US002	

Ze TRADE!		U.S. Patent Documents					
Exam.	Cite	Document Number	Publication Date or Issue Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where		
Init.*	No.	Doc. Number-(Kind Code if Known)	MM-DD-YYYY		Relevant Passages or Relevan Figures Appear		
	A1	US- 5,485,291	01-16-1996	Qiao et al.			
	A2	US- 5,640,483	06-17-1997	Lin			
	А3	US- 2002/0021563	02-21-2002	Ohsumi			
	A4	US-					
	A5	US-					
	A6	US-					
	A7	US-					
	A8	US-					
	A9	US-					
	A10	US-					
	A11	US-					

Foreign Patent Documents							
	Cite	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines,	Translation
	No.	Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	(Check if yes)
	B1	EP	0 814 300	12-29-1997			
	B2						
-	В3					,	
	B4						
	B5						
	B6						
	B7					`	

	OTHER DOCUMENTS					
		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)			
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	C2					
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